PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/806,775 TRANSMITTAL Filing Date March 22, 2004 **FORM** First Named Inventor **Hopkins** Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number Hunt:FanArr1 Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)										
	Fee Transmittal Formin duplicated Fee Attached Amendment/Reply Preliminary Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		l —				After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter			
			SIGNA	TURF	OF APPLICA	NT ATTORNEY	OR A	GENT		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Date August 2, 2004										
_			CI	ERTIF	ICATE OF TE	RANSMISSION/M	AILING			
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.										
Typed or printed name Karen Dar			na Oster							
Signature		The	n Oetes				Da	te	August 2, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
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			armation unless it displays a valid OMB control number		
FEE TRANS		Complete if Known			
FEE IRANS	DIVILLIAL	Application Number	10/806,775		
for FY 2	2004	Filing Date	March 22, 2004		
Effective 10/01/2003. Patent fees are s		First Named Inventor	Hopkins		
Applicant claims small entity status.	•	Examiner Name			
Applicant claims small entity status.	See 3/ CFR 1.2/	Art Unit			
TOTAL AMOUNT OF PAYMENT	(\$) O	Attornov Dooket No	Hunt:FanArr1		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	Large Entity Small Entity					
Page 1	Fee Code	Fee (\$)		Fee (\$)	Fee Description	Eas Dald
Account Sumber 50-2115	1051	130	2051		Surcharge - late filing fee or oath	Fee Paid
Deposit	1052	50	2052		Surcharge - late provisional filing fee or	
Account Name					cover sheet	
The Director is authorized to: (check all that apply)	1053	130 2,520	1053 1812 :		Non-English specification For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	•	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)	1004	920	1004	920	Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.	1251	110	2251	55	Examiner action Extension for reply within first month	
FEE CALCULATION	1251	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1252	950	2252		Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid		1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$)		2,010	2255		Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1401	330	2401	•	• •	
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal	
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1402	290	2402		Filing a brief in support of an appeal Request for oral hearing	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee		1,510	1451		Petition to institute a public use proceeding	
	1452	110	2452		Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0		1.330	2453		Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2501		Utility issue fee (or reissue)	
Fee from <u>Extra Claims below</u> Fee Paid	1502	480	2502		Design issue fee	
Total Claims20** = X = 0	1503	640	2503		Plant issue fee	
Independent - 3** = X = 0	1460	130	1460		Petitions to the Commissioner	
Multiple Dependent	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	8021	1 40	Recording each patent assignment per	
1202 18 2202 9 Claims in excess of 20					property (times number of properties)	
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	, J85	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	examined (37 CFR 1.129(b)) Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	•	
SUBTOTAL (2) (\$) 0	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$)	0

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Karen Dana Oster 37,621 (503) 810-2560 Telephone (Attorney/Agent) August 2, 2004 Signature Date

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IFW

Application No. 10/806,775 Amendment dated August 2, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION EXAMINING OPERATIONS

Applicant:

Hopkins

Group Art Unit:

Serial No.:

10/806,775

Examiner:

Filed:

March 22, 2004

Docket No: Hunt:FanArr1

Title:

Fan Array Fan Section in Air-Handling Systems

PRELIMINARY AMENDMENT

Law Office of Karen Dana Oster, LLC PMB 1020 15450 SW Boones Ferry Rd. #9 Lake Oswego, OR 97035 August 2, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Prior to examination, please amend the above-identified patent application

as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks/Arguments begin on page 3 of this paper.